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## UTILITY PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| Attomey Docket No.      | P1607US01                      |
|-------------------------|--------------------------------|
| First Inventor          | SMITH, Kim C.                  |
| Title                   | ON-DEMAND DATA STREAM PARCEUNE |
| Express Mail I abel No. | EV383533927US                  |

|  | 9-4   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| APPLICATION ELEMENTS   | Mail Stop Patent Application Commissioner for Patents                                     |  |  |  |  |  |
| See MPEP chapter 600 concerning utility patent application contents.   | P.O. Box 1450   |  |  |  |  |  |
|  | Alexandria VA 22313-1450 000  |  |  |  |  |  |
| 1. Fee Transmittal Form (e.g., PTO/SB/17)  | 7. CD-ROM or CD-R in duplicate, large table or  |  |  |  |  |  |
| (Submit an original and a duplicate for fee processing)  | Computer Program (Appendix)   |  |  |  |  |  |
| 2. Applicant claims small entity status.   | 8. Nucleotide and/or Amino Acid Sequence Submission 5                                     |  |  |  |  |  |
| See 37 CFR 1.27. 3. Specification   Total Pages 15 1   | (if applicable, all necessary)  |  |  |  |  |  |
| (preferred arrangement set forth below)  | a. Computer Readable Form (CRF)   |  |  |  |  |  |
| <ul> <li>Descriptive title of the invention</li> <li>Cross Reference to Related Applications</li> </ul>  | b. Specification Sequence Listing on:   |  |  |  |  |  |
| <ul> <li>Statement Regarding Fed sponsored R &amp; D</li> <li>Reference to sequence listing, a table,</li> </ul>   | i. CD-ROM or CD-R (2 copies); or  |  |  |  |  |  |
| or a computer program listing appendix - Background of the Invention   | ii. Paper   |  |  |  |  |  |
| Brief Summary of the Invention     Brief Description of the Drawings (if filed)      Description of the Drawings (if filed)                                      | c. Statements verifying identity of above copies  |  |  |  |  |  |
| - Detailed Description - Claim(s)  | ACCOMPANYING APPLICATION PARTS  |  |  |  |  |  |
| - Abstract of the Disclosure   |   |  |  |  |  |  |
| 4. 🔽 Drawing(s) (35 U.S.C. 113) [Total Sheets3]  | 9. Assignment Papers (cover sheet & document(s)) 10. Power of                             |  |  |  |  |  |
| 5. Oath or Declaration [Total Sheets 3 ]   | (when there is an assignee) Attorney  |  |  |  |  |  |
| a. Newly executed (original or copy)   | 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS |  |  |  |  |  |
| b. Copy from a prior application (37 CFR 1.63(d))  | Statement (IDS)/PTO-1449 Citations  13. Preliminary Amendment                             |  |  |  |  |  |
| (for continuation/divisional with Box 18 completed)  | 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503)                          |  |  |  |  |  |
| : Con per etion of the tentopyo  | (Should be specifically itemized)   |  |  |  |  |  |
| i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s)   | 15. Certified Copy of Priority Document(s)  |  |  |  |  |  |
| name in the prior application, see 37 CFR  | (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122           |  |  |  |  |  |
| 1.63(d)(2) and 1.33(b).  | (b)(2)(B)(i). Applicant must attach form PTO/SB/35  |  |  |  |  |  |
| 6. Application Data Sheet. See 37 CFR 1,76   | or its equivalent.  |  |  |  |  |  |
| 7. ppilodion bald onest. occ or or (1.76   | 17. L. Other:   |  |  |  |  |  |
|  |   |  |  |  |  |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and sup  | ply the requisite information below and in the first sentence of the                      |  |  |  |  |  |
| specification following the title, or in an Application Data Sheet under 3   | 7 CFR 1.70:   |  |  |  |  |  |
| Continuation Divisional Continua   | tion-in-part (CIP) of prior application No.:09/489.127                                    |  |  |  |  |  |
| Prior application information: Examiner GECKIL, Mehmet B.  | Art Unit: 2142  |  |  |  |  |  |
| For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of th  | e prior application, from which an oath or declaration is supplied under Box              |  |  |  |  |  |
| 5b, is considered a part of the disclosure of the accompanying continuation. The incorporation can only be relied upon when a portion has been inadver-          | or divisional application and is hereby incorporated by reference.                        |  |  |  |  |  |
| The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS |   |  |  |  |  |  |
| Customer Number: 24,333  | OR Correspondence address below   |  |  |  |  |  |
| Name   |   |  |  |  |  |  |
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| Country  | elephone Fax  |  |  |  |  |  |
| Name (Print/Type)   Jeffrey A. Proehl / / /  |   |  |  |  |  |  |
| Signature Charles  |   |  |  |  |  |  |
| - LATITUTE   | Date   April 2, 2004  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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| FFF TO A LORGITT A L  |             | Complete if Known    |  |  |  |  |
|---|-------------|----------------------|--|--|--|--|
| FEE TRAN  | SWITTAL     | Application Number   | Unassigned                             |  |  |  |
| For FY 2004  Effective 10/01/2003. Patent fees are subject to annual revision.  Applicant claims small entity status. See 37 CFR 1.27 |             | Filing Date          | Herewith SMITH, Kim C. Unknown Unknown |  |  |  |
|   |             | First Named Inventor |  |  |  |  |
|   |             | Examiner Name        |  |  |  |  |
|   |             | Art Unit             |  |  |  |  |
| TAL AMOUNT OF PAYMENT   | (\$) 770.00 | Attorney Docket No.  | P1607US01                              |  |  |  |

| TOTAL AN                                 | IOURI OF PATIFICIAL                        | (Ψ) 1 7                                  | 0.00               |                             | Attorr  | iey Do   | cket N   | 10. F 10070301   |          |
|--|--|--|--------------------|-----------------------------|---------|----------|----------|--|----------|
| METHOD OF PAYMENT (check all that apply) |  |  |                    | FEE CALCULATION (continued) |         |          |          |  |          |
| Check Credit card Money Other None       |  |  | 3. ADDITIONAL FEES |                             |         |          |          |  |          |
| Order Constitution                       |  |  | Large 1            | Entity                      | Small   | Entity   |          |  |          |
| Deposit A                                | Account:                                   |  | <del></del>        | Fee                         |         |          | Fee      | Fee Description  |          |
| Deposit<br>Account                       | 50-0439                                    |  |                    | Code<br>1051                | ,       | 2051     | (\$)     | Surcharge - late filing fee or oath  | Fee Paid |
| Number<br>Deposit                        |  |  |                    |                             | 130     | 2051     |          | Surcharge - late provisional filing fee or                                 |          |
| Account                                  | Gateway, Inc.                              |  | ;                  | 1052                        | 50      | 2032     | 25       | cover sheet  |          |
| Name<br>The Director is                  | authorized to: (check a                    | ll that apply)                           |                    | 1053                        | 130     | 1053     |          | Non-English specification  |          |
|  | (s) indicated below                        | 7 . (i fi                                | erpayments         | 1812                        | 2,520   | 1812     | _,       | For filing a request for ex parte reexamination                            |          |
| Charge any                               | additional fee(s) or any u                 | nderpayment of f                         | ee(s)              | 1804                        | 920*    | 1804     | 920*     | Requesting publication of SIR prior to<br>Examiner action                  |          |
| Charge fee(                              | (s) indicated below, excep                 | ot for the filing f                      | ee                 | 1805                        | 1,840*  | 1805     | 1,840*   | Requesting publication of SIR after  |          |
| to the above-ide                         | entified deposit account.                  |  |                    | 1                           |         |          |          | Examiner action  |          |
|  | FEE CALCULA                                | ATION                                    |                    | 1251                        | 110     | 2251     |          | Extension for reply within first month                                     |          |
| 1. BASIC FI                              | LING FEE                                   |  |                    | 1252                        | 420     | 2252     | 210      | Extension for reply within second month                                    |          |
| Large Entity S                           |  |  |                    | 1253                        | 950     | 2253     | 475      | Extension for reply within third month                                     |          |
|  | Fee Fee Fee Desci                          | ription                                  | Fee Paid           | 1254                        | 1,480   | 2254     | 740      | Extension for reply within fourth month                                    |          |
|  | 2001 385 Utility fili                      | ng fee r                                 | 770.00             | 1255                        | 2,010   | 2255     | 1,005    | Extension for reply within fifth month                                     |          |
| 1002 340                                 | 2002 170 Design f                          | iling fee                                | 770.00             | 1401                        | 330     | 2401     | 165      | Notice of Appeal   |          |
| 1003 530                                 | 2003 265 Plant fili                        | ng fee                                   |                    | 1402                        | 330     | 2402     | 165      | Filing a brief in support of an appeal                                     |          |
| 1004 770                                 | 2004 385 Reissue                           | filing fee                               |                    | 1403                        | 290     | 2403     | 145      | Request for oral hearing   |          |
| 1005 160                                 | 2005 80 Provision                          | nal filing fee                           |                    | 1451                        | 1,510   | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
|  | SUBTOTA                                    | L (1) (\$) 77                            | 0.00               | 1452                        | 110     | 2452     | 55       | Petition to revive - unavoidable   |          |
|  |  |  |                    | 1453                        | 1,330   | 2453     | 665      | Petition to revive - unintentional   |          |
| 2. EXTRA C                               | CLAIM FEES FOR U                           | ITILITY AND<br>Fee from                  |                    | 1501                        | 1,330   | 2501     | 665      | Utility issue fee (or reissue)   |          |
|  | Extra Cla                                  | ims below                                | Fee Paid           | 1502                        | 480     | 2502     | 240      | Design issue fee   |          |
| Total Claims<br>Independent              | 19 -20** = 0                               | ᅴ ×[ <u>-</u>                            | 49——               | 1503                        | 640     | 2503     | 320      | Plant issue fee  |          |
| Claims                                   | 2 - 3** = 0                                | ⅃×╚ <u>┈</u>                             | 10                 | 1460                        | 130     | 1460     | 130      | Petitions to the Commissioner  |          |
| Multiple Deper                           | ndent                                      |  | =                  | 1807                        | 50      | 1807     | 7 50     | Processing fee under 37 CFR 1.17(q)  |          |
| Large Entity                             |  | 5 i - 4i                                 |                    | 1806                        | 180     | 1806     |          | Submission of Information Disclosure Stmt                                  |          |
| Fee Fee<br>Code (\$)                     | Code (\$)                                  | <u>Description</u>                       |                    | 8021                        | 40      | 8021     | 1 40     | Recording each patent assignment per property (times number of properties) |          |
| 1202 18                                  |  | in excess of 20                          |                    | 1809                        | 770     | 2809     | 385      | Filing a submission after final rejection                                  |          |
| 1201 86                                  | · .  | ndent claims in ex<br>e dependent clain  |                    | 4040                        | 770     | 0046     |          | (37 CFR 1.129(a))  |          |
| 1203 290                                 | •  | sue independent                          |                    | 1810                        | 770     | 2810     | . 385    | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1204 86                                  |  | original patent                          | Giaillia           | 1801                        | 770     | 2801     | 385      | Request for Continued Examination (RCE)                                    |          |
| 1205 18                                  |  | sue claims in exc<br>over original pater |                    | 1802                        | 900     | 1802     | 900      | Request for expedited examination of a design application                  |          |
|  | CURTOTAL                                   | (2) (\$) <sup>0</sup>                    | .00                | Other                       | fee (sp | ecify) _ |          |  |          |
| **or number                              | SUBTOTAL (<br>r previously paid, if greate | •  |                    | *Redu                       | ced by  | Basic I  | Filing F | ee Paid SUBTOTAL (3) (\$) 0.00   | 3-3-4    |

| SUBMITTED BY      |                   |                                   | (Complete (if applicable)) |           |               |  |
|-------------------|-------------------|-----------------------------------|----------------------------|-----------|---------------|--|
| Name (Print/Type) | Jeffrey A. Proehl | Registration No. (Attorney/Agent) | 35,987                     | Telephone | 605 339-2028  |  |
| Signature         | Milroell          |                                   | _                          | Date      | April 2, 2004 |  |

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| IN THE UNITED STATES PATENT AND TRADEMARK O | FFICE |
|---|-------|
| Re Patent Application of                    | )     |
| KIM C. SMITH                                | í     |
| Serial No.: (unassigned)                    | Ś     |
| Filed: (herewith)                           | Ś     |
| For: ON-DEMAND DATA STREAM PARCELING        | )     |
| Examiner: (unassigned)                      | )     |
| Group Art Unit: (unassigned)                | Ś     |
| Attorney: Jeffrey A Proehl                  | )     |
|   | ,     |

Mail Stop Patent Application Honorable Assistant Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EV383533927US Date of Deposit April 2, 2004

I hereby state that the following attached paper(s):

APPLICATION with 15 pages and 3 drawing sheets, Declaration with 3 pages, and Power of Attorney
UTILITY APPLICATION TRANSMITTAL
FEE TRANSMITTAL
INFORMATION DISCLOSURE STATEMENT with FORM U.S.P.T.O. 1449

is(are) being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to Mail Stop Patent Application, Assistant Commissioner for Patents, PO Box 1450, Alexandria, V.A. 22313-1450.

Jeffrey A. Proehl